

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
13 February 2003 (13.02.2003)

PCT

(10) International Publication Number  
**WO 03/011826 A1**

(51) International Patent Classification<sup>7</sup>: **C07D 207/34**

Secunderabad 500 047 (IN). **REDDY, Sagyam, Rajeswar** [IN/IN]; Mudireddy Pally, Rajapur, Balajinager, Mahaboob Nagar (IN).

(21) International Application Number: PCT/US02/00431

(22) International Filing Date: 7 January 2002 (07.01.2002)

(74) Agents: **CORD, Janet, I.** et al.; 26 West 61st Street, New York, NY 10023 (US).

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
620/MAS/01 30 July 2001 (30.07.2001) IN

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(71) Applicant (*for all designated States except GD, US*): **DR. REDDY'S LABORATORIES LTD.** [IN/IN]; 7-1-27, Ameerpet, Hyderabad 500016 (IN).

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for GD only*): **CORD, Janet, I.** [US/US]; 26 West 61st Street, New York, NY 10023 (US).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **REDDY, M., Satyanarayana** [IN/IN]; H. No. 8-3-167/D/16, Kalyan Nagar, Near Ag Colony, Hyderabad-38, Erragadda (IN). **NAGARAJU, Chakiram** [IN/IN]; 12-10-336/15/1, Seethaphal Mandi, Secunderabad 500 061 (IN). **SRINIVASULU, Gudipati** [IN/IN]; 15-21-107 Balajinagar, Kukatpally, Hyderabad 500 07 (IN). **SINIVAS, Katakam** [IN/IN]; H. No. 13-1-321, Saipuri Colony, Malkajgiri,

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 03/011826 A1

(54) Title: CRYSTALLINE FORMS VI AND VII OF ATORVASTATIN CALCIUM

(57) Abstract: The present invention relates to novel crystalline forms of atorvastatin calcium and to the methods of their production and isolation. More specifically, the present invention relates to novel Forms VI and VII of R-(R\*, R\*)-2(4-fluorophenyl)-B, d dihydroxy-5(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1-H-pyrrole-1-heptanoic- acid calcium salt and hydrates thereof and to methods of their preparation.

PC25825A

APP. NO. 10/828,488 FILED: 04/20/2004

## CRYSTALLINE FORMS VI AND VII OF ATORVASTATIN CALCIUM

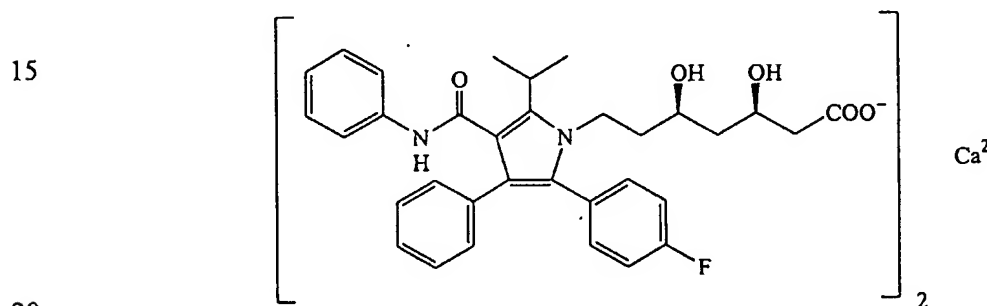
FIELD OF THE INVENTION

The present invention relates to novel crystalline forms of Atorvastatin calcium and to the methods of their production and isolation.

More specifically, the present invention relates to novel Forms VI and VII of R-(R\*, R\*)]-2-(4-fluorophenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1-H-pyrrole-1-heptanoic acid calcium salt and hydrates thereof and to methods of their preparation.

BACKGROUND OF THE INVENTION

Chemically Atorvastatin is R-(R\*, R\*)]-2-(4-fluorophenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1H-pyrrole-1-heptanoic acid. Atorvastatin is marketed as the hemi calcium salt trihydrate under the name LIPITOR by Warner Lambert Co and may be represented by Formula 1.



Atorvastatin is a member of the class of drugs called statins. Statin drugs are currently the most therapeutically effective drugs available for reducing low density lipoprotein (LDL) particle concentration in the blood stream of patients at risk for cardiovascular disease. A high level of LDL in to bloodstream has been linked to the formation of coronary lesions, which obstruct the flow of blood and can rupture and promote thrombosis; Goodman Gilman, *The Pharmacological Basis of Therapeutics* 879 (9<sup>th</sup> ed. 1996). Reducing plasma LDL levels has been shown to reduce the risk of clinical events in patients with cardiovascular disease and patients who are free of cardiovascular disease but who have hypercholesterolemia; Scandinavian Simvastatin Survival Study Group, 1994; Lipid Research Clinics Program, 1984a, 1984b.

U.S. Patent 5,929,156 to Warner-Lambert Company, discloses crystalline Form I Atorvastatin hydrate, crystalline Form II Atorvastatin and hydrates thereof and crystalline Form IV Atorvastatin and hydrates thereof, which

-2-

are useful as inhibitors of enzyme 3-hydroxy-3methylglutaryl-coenzyme A reductase (HMG-CoA reductase) and are hence useful hypolipidemic and hypocholesterolemic agents.

U.S. Patent 6,121,461 also to Warner-Lambert Company, discloses  
5 crystalline Form III Atorvastatin hydrate, which is also a useful hypolipidemic and hypocholesterolemic agent.

WO 01/36384 A1 to Teva Pharmaceutical Industries Ltd discloses Form V Atorvastatin calcium and hydrates thereof; its preparation and a pharmaceutical composition thereof.

10 A process for the preparation of hydrated and anhydrous amorphous Atorvastatin is disclosed in U.S. Patent 6,087,511 also to Warner-Lambert Company.

WO 01/28999 A1 to Egis Gyogyszergyart discloses a process for the preparation of amorphous Atorvastatin calcium.

15 It is also noteworthy to point out that U.S. Patent No. 5,969,156 designates the Atorvastatin formed by prior art process (viz United States Patents 5,003,080; 5,097,045; 5,103,024; 5,124,482; 5,149,837; 5,155,251; 5,216,174; .. 5,245,047; 5,248,793; 5,280,126; 5,397,792; and 5,342,952) as amorphous Atorvastatin which has unsuitable filtration and drying characteristics for large  
20 scale production and which must be protected from light, heat, oxygen and moisture (column 1; lines 62-65).

Atorvastatin is prepared as its calcium salt, which is desirable since it enables Atorvastatin to be conveniently formulated for oral administration. There is hence a need to produce Atorvastatin calcium in a pure and crystalline form to  
25 enable formulations to meet exacting pharmaceutical requirements and specifications.

Furthermore, the process by which the crystalline form of Atorvastatin calcium is produced needs to be one, which is amenable to large-scale production. Additionally, it is desirable that the product should be in a form that is  
30 readily filterable and easily dried. Finally, it is economically desirable that the product be stable for extended periods of time without the need for specialized storage conditions.

The main aspect of the present invention is to provide novel crystalline forms of Atorvastatin calcium and hydrates thereof, and to a method for

-3-

their preparation.

Another aspect of the present invention is that, the novel crystalline forms of Atorvastatin calcium and hydrates thereof are obtained in high purity.

The generally preferred HPLC purity of crystalline Form VI and VII of

5 Atorvastatin calcium and hydrates thereof, of the present invention is greater than 99.0% more preferably greater than 99.5%. Most pharmaceutical formulation processes are facilitated by use of the active materials that are free flowing high melting solids. The novel crystalline forms of Atorvastatin calcium of the present invention are high melting solids, very suited for formulation. The residual  
10 solvents associated with the novel forms, Form VI and Form VII are also very well within permissible limits and that again renders the novel crystalline forms suited for formulations.

#### SUMMARY OF THE INVENTION

Accordingly, the present invention is directed to novel crystalline  
15 forms of Atorvastatin calcium and hydrates thereof. These crystalline forms of Atorvastatin calcium are designated as Form VI and Form VII for convenience.

The present invention further provides a process for the preparation of novel crystalline Form VI and Form VII of Atorvastatin calcium and hydrates thereof, which is a commercially viable process well suited for industrial scale up.

#### BRIEF DESCRIPTION OF ACCOMPANYING DRAWINGS

20 Fig 1 is a characteristic X ray powder diffractogram of novel crystalline Atorvastatin calcium Form VI. Vertical axis: Intensity (CPS); Horizontal axis: Two Theta (degrees). The significant d values ( $\text{\AA}^\circ$ ) obtained are 22.52, 19.44, 11.84, 11.23, 9.58, and 4.69.

25 Fig 2 is a characteristic is an X ray powder diffractogram of novel crystalline Atorvastatin calcium Form VII. Vertical axis: Intensity (CPS); Horizontal axis: Two Theta (degrees). The significant d values ( $\text{\AA}^\circ$ ) obtained are 19.36, 11.80 9.60, 4.75, 4.69 and 4.39.

#### DETAILED DESCRIPTION OF THE INVENTION

30 The present invention is directed to novel crystalline forms of Atorvastatin calcium and hydrates thereof. More particularly, the hydrates contain 1 to 4 moles of water. The crystalline Form VI and Form VII of the present invention may be characterized by their X Ray powder diffraction. Thus the X-Ray diffraction patterns of Form VI and VII of Atorvastatin calcium and their

-4-

hydrates were measured on a Rigaku D/Max 2200 Powder Diffractometer with Cu Radiation source. Crystalline Form VI has X-ray powder diffraction pattern essentially as shown in the Table 1. The X-ray powder diffraction pattern is expressed in terms of the  $2\theta$ , d-spacings, and relative intensities  $> 15\%$ .

5	$2\theta$	d-spacings	Intensity, $I/I_0$ , %
	3.92	22.52	40
	4.54	19.44	17
	7.46	11.84	100
	7.86	11.23	21
10	9.22	9.58	19
	18.9	4.69	55

Table 2 lists the  $2\theta$ , d-spacings, and relative intensities  $> 15\%$  for crystalline Form VII of Atorvastatin calcium.

	$2\theta$	d-spacings	Intensity, $I/I_0$ , %
15	4.56	19.36	21
	7.48	11.80	100
	9.20	9.60	21
	18.64	4.75	15
	18.88	4.69	24
20	20.20	4.39	17

The present invention is also directed to processes for preparation of novel crystalline forms of Atorvastatin calcium and hydrates thereof.

The present invention hence, provides a process for the preparation of crystalline Form VI of Atorvastatin calcium, which comprises:

- 25 a. heating a mixture of  $[R-(R^*, R^*)]-2-(4\text{-fluorophenyl})-\beta$ ,  $\delta$ -dihydroxy -5- 1-methyl ethyl-3-phenyl-4-[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic acid, tertiary butyl ester (hereinafter referred to as "ester" or "active ingredient" for convenience), acetonitrile and sodium hydroxide flakes to about 25-60°C;
- 30 b. maintaining the reaction mixture of step a) at 25-60°C for about 3-9 hours preferably 6 hours;
- c. adding to the above reaction mixture an aqueous solution of

-5-

calcium acetate hemihydrate;

- d. further stirring the reaction mixture at 30-50°C for about 30 minutes to 2 hours, preferably 45 minutes;
- e. filtering the reaction solution obtained in step d) through  
5 hyflow bed;
- f. distilling the solvent from reaction solution of step e) to yield a residue;
- g. suspending the residue of step f) in a mixture of aliphatic nitrile solvent selected from acetonitrile and propionitrile; and water, in a ratio of  
10 1:0.1-2, such that the volume of the mixture of solvent- water is 18-40 times the weight of ester in step a); (The ratio of active ingredient to the mixture of solvent and water is 1:18-40 (wt/vol)).
- h. refluxing the reaction mixture obtained in step g) for 10-18 hours preferably 12-14 hours; and
- 15 i. isolating the crystalline Form VI of Atorvastatin calcium, obtained in step h) by filtration or other conventional methods known in the art.

In step a) of the process an ester with an alkyl group of 1-10 carbon atoms, allyl or benzyl group can be used in place of the tertiary butyl ester and another nitrile such as propionitrile can be used in place of acetonitrile. The ratio  
20 of solvent to active ingredient in step a) is 16 times the active ingredient (wt:volume) (gm:ml).

In step a) the molar ratio of active ingredient to base is 1:1-1.5 preferably 1:1.15.

In step a) other alkali hydroxides can be used in place of sodium  
25 hydroxide. The alkali hydroxides including the sodium hydroxide may be in any form and the form is not limited to flakes.

The following organic and inorganic salts of calcium may be used in place of calcium acetate hemihydrate:

Organic salts such as carboxylates and sulphonates. The  
30 carboxylates may be selected from acetate, propionate, butyrate, tartarate; aryl carboxylates like benzoate and phthlate as well as higher carboxylates like Stearate or dodecanoate. Also included are succinate and ascorbate.

Sulphonates may be selected from lower alkyl and aryl sulfonates like calcium methane sulfonates, calcium benzene sulfonates and calcium para

-6-

toluenesulfonates.

Inorganic salts of calcium may be selected from calcium chloride, fluoride, bromide, iodide and calcium borate and tetra fluoro borate, calcium carbonate, mono, di and tri basic calcium phosphate, calcium sulfate and calcium hydroxide and hydrates thereof.

The molar ratio of active ingredient to calcium acetate hemihydrate or calcium salt is 1:0.5-0.7 preferably 1:0.6.

The present invention also provides a process for the preparation of crystalline Form VII of Atorvastatin calcium, which comprises:

- a. suspending residue (prepared as per steps a-f for crystalline form VI) in a mixture of water and organic solvent such as an amide solvent such as dimethyl formamide or an aliphatic nitrile solvent selected from acetonitrile or propionitrile; in a ratio of organic solvent to water 1:0.1-5 (vol/vol) such that the volume of the mixture of solvent- water is 5 to less than 10 times, the weight of initial ester used in the preparation of crystalline form VI (vol:wt) (ml/gm);
- b. refluxing the reaction mixture obtained in step a) for 10 minutes to 1 hour preferably 30 minutes;
- c. subsequently, adding a second mixture of organic solvent: water (1:0.01-1) (vol/vol); such that the volume of the mixture of solvent- water is 5-10 times the weight of the initial ester; and refluxing the reaction mixture for 10 minutes to 1 hours, preferably 30 minutes;
- d. finally, adding a third mixture of organic solvent: water (1:0.01-1) (vol/vol); such that the volume of mixture of solvent- water is 5-10 times the weight of the initial ester; and refluxing the reaction mixture for 1 hour to 3 hours, preferably 1 hour;
- e. cooling the reaction mixture of step d) to 0-10°C preferably below 5°C; and
- f. isolating the crystalline Form VII of Atorvastatin calcium, obtained in step e) by filtration or other conventional methods known to art.

The organic solvents used in steps c) and d) for the preparation of crystalline Form VII of Atorvastatin calcium include amide solvents such as dimethyl formamide or aliphatic nitrile solvent selected from acetonitrile and propionitrile. The same solvent used in step a) is used in steps c) and d).

The present invention hence provides novel crystalline forms of

-7-

Atorvastatin calcium and hydrates thereof, and to a method for their preparation, which is amenable to large-scale production.

The novel crystalline forms of Atorvastatin calcium of the present invention are readily filterable and easily dried.

5                Moreover, the HPLC purity of novel crystalline Form VI and VII of Atorvastatin calcium and hydrates thereof, of the present invention is greater than 99.0% more preferably greater than 99.5%.

                 The crystalline forms of Atorvastatin calcium of the present invention are also high melting solids with residual solvents within permissible  
10                limits and are very well suited for formulation. The crystalline Form VI of Atorvastatin calcium hydrate may contain 1 to 4 moles of water, preferably 3 moles of water. The crystalline Form VI of Atorvastatin calcium hydrate may contain 1 to 5 moles of water, preferably 3 moles of water.

#### EXAMPLES

15                The present invention is illustrated by the following examples, which are not intended to limit the effective scope of the invention.

##### Example 1

##### Preparation of crystalline Form VI of Atorvastatin Calcium

                 A mixture of [R-(R\*, R\*)]-2-(4-fluoro phenyl) $\beta$ ,  $\delta$ -dihydroxy-5-  
20                [(1-methyl ethyl)- 3-phenyl-4[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic acid, tert. butyl ester (25.0g), acetonitrile (400ml) water (62 ml) and sodium hydroxide flakes (1.88g) are heated to about 25-55°C and maintained at the same temperature for about 4 and a half hours. To the reaction mixture is then added an aqueous solution of calcium acetate hemihydrate (4.0g in 41.6 ml of water) and  
25                stirred at 30-50°C for about 1 hour. Subsequently, the solution obtained is filtered through hyflow bed and washed with acetonitrile (125 ml). The solvent is then distilled completely to yield residue.

                 To the residue thus obtained, a mixture of acetonitrile: water (1:1) (500 ml) is added and the reaction mixture maintained at reflux for about 13 hours.  
30                The separated solid is then filtered at 70°C and washed with a mixture of acetonitrile: water (1:1) (50 ml). The resultant solid is dried at 60-70°C to render desired crystalline Form-VI of Atorvastatin Calcium.

HPLC Purity: 99.71%

-8-

Example 2Preparation of crystalline Form VII of Atorvastatin Calcium

A mixture of [R-(R\*, R\*)]-2-(4-fluoro phenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-  
[(1-methyl ethyl)-3-phenyl-4[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic  
5 acid, tert. butyl ester (25.0g), acetonitrile (400 ml) and sodium hydroxide flakes  
(1.88g) are heated to about 30-45°C and maintained at the same temperature for  
about 6 hours. To the reaction mixture is then added an aqueous solution of  
calcium acetate hemihydrate (4.0g in 41.6 ml of water) and stirred at 30-50°C for  
about 50 minutes. Subsequently, the solution obtained is filtered through hyflow  
10 bed and washed with acetonitrile (125 ml). The solvent is then distilled completely  
to yield residue. To the residue thus obtained, a mixture of acetonitrile: water  
(1:1; 150 ml) was added and the reaction mixture maintained at reflux for about  
15-20 minutes. Upon completion of this step, a second mixture of acetonitrile:  
water (1:1; 150ml) is added to resultant slurry, and again the reaction mixture  
15 maintained at reflux about 30 minutes. Finally a third mixture of acetonitrile water  
(1:1; 150ml) is added to resultant slurry, and the reaction mixture maintained at  
reflux for about 1 hour. The reaction mixture then cooled to 0-10°C, filtered and  
dried at 50-60°C to get the desired crystalline Form VII of Atorvastatin Calcium.

HPLC Purity: 99.81%

20

Example 3

A mixture of [R-(R\*, R\*)]-2-(4-fluoro phenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-  
[(1-methyl ethyl)-3-phenyl-4[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic  
acid, tert. butyl ester (10.0g), acetonitrile(80 ml) and sodium hydroxide flakes  
(0.75g) in water (25.0 ml) are heated to about 35-45°C and maintained at the same  
25 temperature for about 1-2 hours.

To the reaction mixture is then slowly added an aqueous solution of  
calcium acetate hemihydrate (1.6 g in 16.0 ml of water) at 35-45°C for about 30-60  
minutes. After another 15-20 minutes, the temperature is raised to 50-60°C and the  
solution obtained is filtered through hyflow bed and washed with acetonitrile (10.0  
30 ml). The solvent is then distilled completely to yield residue. To the residue thus  
obtained, is added acetonitrile (60 ml) and the temperature is raised to 50-60°C and  
the solution obtained is again filtered through hyflow bed and washed with  
acetonitrile (10.0 ml). To the filtrate, water (120.0 ml) was added and the reaction  
mixture maintained at reflux about 60-90 minutes.

-9-

The reaction mixture is then cooled to 0-10°C, filtered and dried at 50-60°C for 10-12 hours to get the desired crystalline Form VII of Atorvastatin Calcium.

Yield 7 to 9 gm

5

HPLC Purity 99.7%

-10-

CLAIMS

1. A crystalline Form VI of R-(R\*,R\*)]-2-(4-fluorophenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-H-pyrrole-1-heptanoic acid hemi calcium salt (Atorvastatin calcium) or hydrates thereof.
- 5 2. A crystalline Form VI of Atorvastatin calcium hydrate as claimed in claim 1, which is characterized by the following X-ray powder diffraction pattern (d values in Å): 22.52, 19.44, 11.84, 11.23, 9.58, and 4.69.
3. A process for preparing Form VI of Atorvastatin calcium or hydrates thereof, which comprises:
  - 10 a. heating a mixture of [R-(R\*, R\*)]-2-(4-fluoro phenyl)- $\beta$ ,  $\delta$ -dihydroxy-5- [(1-methyl ethyl)-3-phenyl- 4-[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic acid, tert. butyl ester, acetonitrile and sodium hydroxide flakes to about 25-60°C;
  - b. maintaining the reaction mixture of step a) at 25-60°C for about 3  
15 to 9 hours preferably 6 hours;
  - c. adding to the above reaction mixture an aqueous solution of calcium acetate hemihydrate;
  - d. further stirring the reaction mixture at 30-50°C for about 1-2 hours preferably 45 minutes;
  - 20 e. filtering the reaction solution obtained in step d) through hyflow bed;
  - f. distilling the solvent from reaction solution of step e) to a yield residue;
  - g. suspending the residue of step f) in a mixture of water and  
25 aliphatic nitrile solvent selected from acetonitrile and propionitrile;
  - h. refluxing the reaction mixture obtained in step g) for 10-18 hours, preferably 12-14 hours; and
  - i. isolating the crystalline Form VI of Atorvastatin calcium, obtained in step h).
- 30 4. The process as claimed in claim 3, wherein in step g) the ratio of nitrile solvent to water is 1:0.1-2.
5. The process as claimed in claim 3, wherein in step g) the volume of the mixture of solvent and water is 18-40 times the weight of the ester added in step a).

-11-

6. The process as claimed in claim 3, wherein in step a) the molar ratio of [R-(R', R'')]-2-(4-fluoro phenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-[(1-methyl ethyl)-3-phenyl-4-[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic acid, tert butyl ester to sodium hydroxide is 1:1-1.5, preferably 1:1.15.
- 5 7. The crystalline Form VI of Atorvastatin calcium hydrate according to any one of claims 1 to 2, which contains from 1 to 4 moles of water.
8. The crystalline Form VI of Atorvastatin calcium hydrate according to any one of claims 1 to 2, which contains from 3 moles of water.
9. A crystalline Form VII of R-(R', R'')-2-(4-fluorophenyl) $\beta$ ,  
10  $\delta$ -dihydroxy-5- (1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl]-1H-pyrrole-1-heptanoic acid hemi calcium salt (Atorvastatin calcium) or hydrates thereof.
10. The crystalline Form VII of Atorvastatin calcium hydrate, as claimed in claim 9, which is characterized by the following X-ray powder diffraction pattern (d values in Å): 19.36, 11.80, 9.60, 4.75, 4.69 and 4.39.
- 15 11. A process for preparing Form VII of Atorvastatin calcium and hydrates thereof, which comprises:
- a) suspending a residue prepared according to steps a) to f) of claim 3 in a mixture of water and organic solvent selected from an amide solvent or an aliphatic nitrile solvent;
- 20 style="padding-left: 40px;">b) refluxing the mixture obtained in step a) for 10 minutes to 1 hours preferably 30 minutes;
- c) adding a second mixture of the water and the organic solvent of step a) to the mixture of step b) and refluxing the reaction mixture for 10 minutes to 1 hours preferably 30 minutes;
- 25 style="padding-left: 40px;">d) adding a third mixture of the water and the organic solvent of step a) to the mixture of step c) and refluxing the reaction mixture for 1 - 3 hours preferably 1 hour;
- e) cooling the reaction mixture of step d) to 0-10°C preferably below 5°C; and
- 30 style="padding-left: 40px;">f) isolating the crystalline Form VII of Atorvastatin calcium obtained in step e).
12. The process as claimed in claim 11, wherein the ratio of organic solvent to water in step a) is 1:0.1-5 (vol/vol).
13. The process as claimed in claim 11, wherein in step a) the volume of

-12-

the mixture of organic solvent and water is 5 to less than 10 times the weight of the initial ester added in step a).

14. The process as claimed in claim 11, wherein in step c) the volume of the mixture of organic solvent and water is 5-10 times the initial ester.

5 15. The process as claimed in any one of claims 11 to 13, wherein the organic solvent is dimethyl formamide.

16. The process as claimed in any one of claims 11 to 13, wherein the aliphatic nitrile is selected from acetonitrile or propionitrile.

10 17. The crystalline Form VI of Atorvastatin calcium hydrate according to any one of claims 9 to 10, which contains 1 to 5 moles of water.

18. The crystalline Form VI of Atorvastatin calcium hydrate according to any one of claims 9 to 10, which contains 3 moles of water

19. A process for preparing Form VI of Atorvastatin calcium or hydrates thereof, which comprises:

15 a. heating a mixture of [R-(R\*, R\*)]-2-(4-fluoro phenyl)- $\beta$ ,  $\delta$ -dihydroxy-5- [(1-methyl ethyl)-3-phenyl- 4-[(phenyl amino)-carbonyl]-1H-pyrrole-1-heptanoic acid, a compound selected from C<sub>1</sub>-C<sub>10</sub> alkyl ester, allyl ester or benzyl ester; nitrile and alkali hydroxide to about 25-60°C;

20 b. maintaining the reaction mixture of step a) at 25-60°C for about 3 to 9 hours preferably 6 hours;

c. adding to the reaction mixture of step b) an aqueous solution of a calcium salt;

d. further stirring the reaction mixture at 30-50°C for about 1-2 hours preferably 45 minutes;

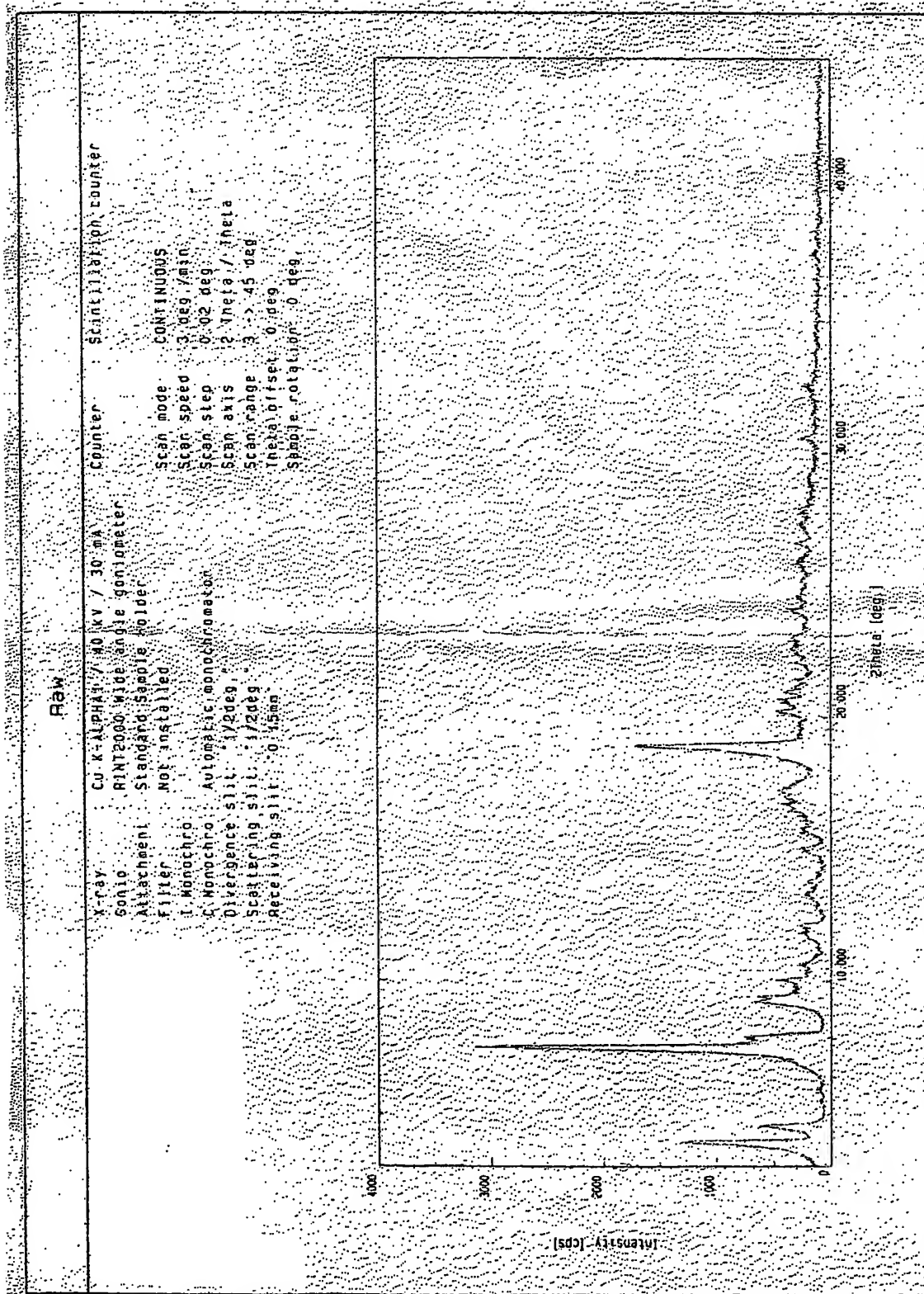
25 e. filtering the reaction solution obtained in step d) through hyflow bed;

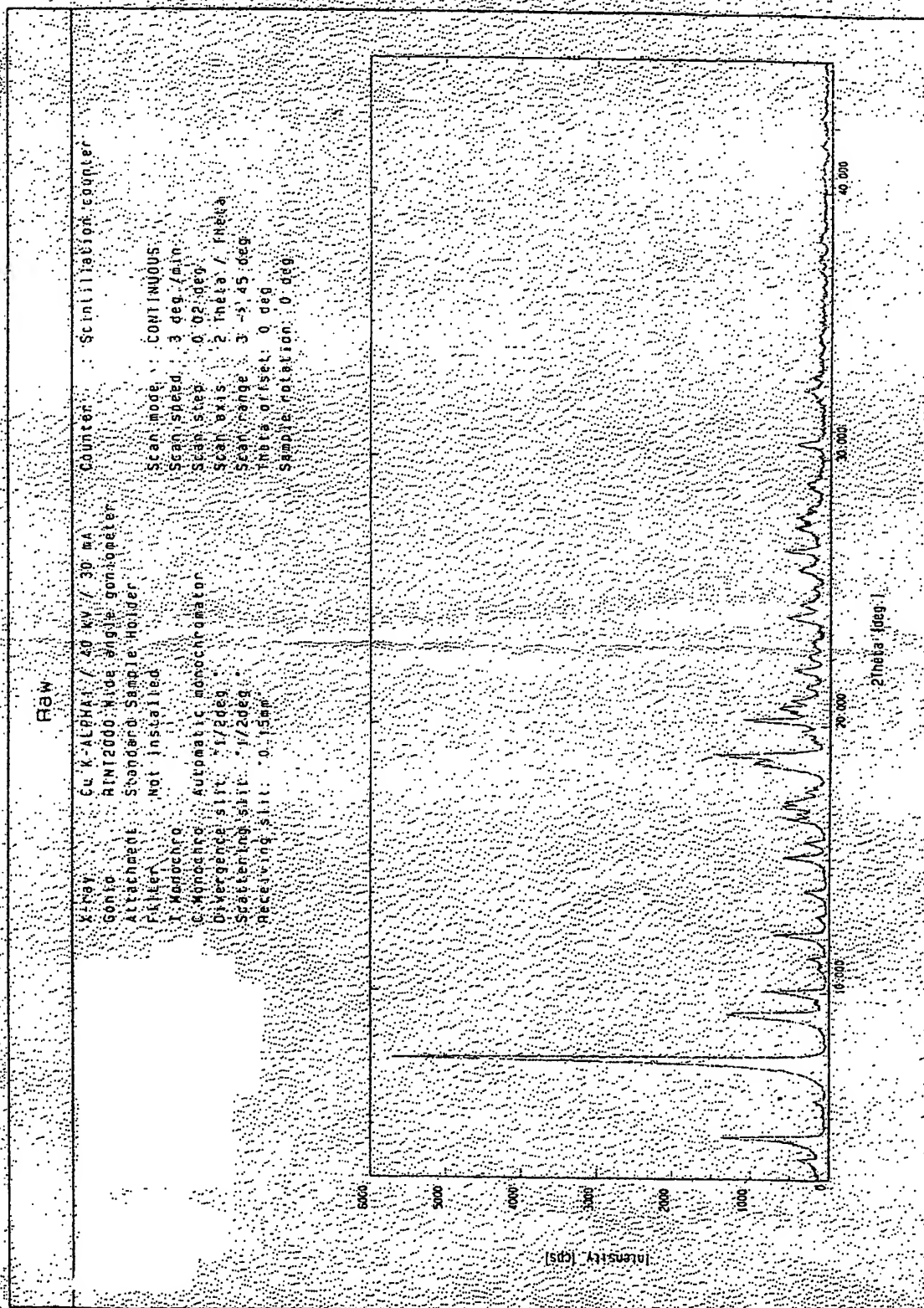
f. distilling the solvent from reaction solution of step e) to yield a residue;

30 g. suspending the residue of step f) in a mixture of aliphatic nitrile solvent selected from acetonitrile or propionitrile and water;

h. refluxing the mixture of step g) for 10-18 hours; preferably 12-14 hours; and

i. isolating the crystalline Form VI of Atorvastatin calcium.





In	Application No
PCT/US	02/00431

**According to International Patent Classification (IPC) or to both national classification and IPC**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C07D

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 03958 A (WARNER LAMBERT CO ;MCKENZIE ANN T (US)) 6 February 1997 (1997-02-06) cited in the application claims 1,2 ---	1-19
X	WO 97 03959 A (WARNER LAMBERT CO ;BRIGGS CHRISTOPHER A (US); JENNINGS REX ALLEN ()) 6 February 1997 (1997-02-06) cited in the application claims 1-7 ---	1-19
X	WO 01 36384 A (TEVA PHARMA ;AYALON ARI (IL); NIDDAM VALERIE (IL); ROYTBAT SOFIA) 25 May 2001 (2001-05-25) claims 1-3 ---	1-19
	---	

**X** Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

**\*&** document member of the same patent family

16 October 2002

23/10/2002

Seitner, I

## INTERNATIONAL SEARCH REPORT

Int al Application No

PCT/US 02/00431

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 02 43732 A (ISHAI ETI ;SAMBURSKY GUY (IL); TEVA PHARMA (IL); ARONHIME JUDITH ()) 6 June 2002 (2002-06-06) claims 1-146 ---	1-19
E	WO 02 057229 A (GANESH SAMBASIVAM ;MATHEW JOY (IN); BIOCON INDIA LTD (IN)) 25 July 2002 (2002-07-25) claim 1 ---	1-19
E	WO 02 41834 A (TEVA PHARMA ;TEVA PHARMACEUTICALS USA INC (US)) 30 May 2002 (2002-05-30) claims 1-4 ---	1-19
E	WO 02 051804 A (SCHOENING KAI-UWE ;SZELAGIEWICZ MARTIN (CH); VAN DER SCHAAF PAUL A) 4 July 2002 (2002-07-04) claims 1-8 ---	1-19
E	WO 02 43667 A (ISHAI ETI ;TEVA PHARMA (IL); NIDDAM VALERIE (IL); LIDOR HADAS RAMY) 6 June 2002 (2002-06-06) scheme 5 claim 14 -----	1-19

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/00431

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9703958	A	06-02-1997	AT 207465 T	15-11-2001
			AU 725368 B2	12-10-2000
			AU 6484196 A	18-02-1997
			BG 63629 B1	31-07-2002
			BG 102186 A	30-10-1998
			BR 9610567 A	06-07-1999
			CA 2220458 A1	06-02-1997
			CN 1190957 A ,B	19-08-1998
			CZ 9800123 A3	17-06-1998
			DE 69616358 D1	29-11-2001
			DK 848704 T3	04-02-2002
			EE 9800016 A	17-08-1998
			EP 0848704 A1	24-06-1998
			ES 2166456 T3	16-04-2002
			HR 960313 A1	30-04-1998
			HU 9901687 A2	28-10-1999
			IL 122162 A	14-07-1999
			JP 11509229 T	17-08-1999
			JP 3296563 B2	02-07-2002
			NO 980208 A	16-01-1998
			NZ 312906 A	22-12-2000
			PL 324532 A1	08-06-1998
			SK 5998 A3	06-05-1998
			TW 401399 B	11-08-2000
			WO 9703958 A1	06-02-1997
			US 6121461 A	19-09-2000
WO 9703959	A	06-02-1997	AT 208375 T	15-11-2001
			AU 725424 B2	12-10-2000
			AU 6484296 A	18-02-1997
			BG 63630 B1	31-07-2002
			BG 102187 A	30-10-1998
			BR 9609872 A	23-03-1999
			CA 2220018 A1	06-02-1997
			CN 1190955 A ,B	19-08-1998
			CZ 9800121 A3	14-10-1998
			DE 69616808 D1	13-12-2001
			DE 69616808 T2	29-05-2002
			DK 848705 T3	04-02-2002
			EE 9800015 A	17-08-1998
			EP 1148049 A1	24-10-2001
			EP 0848705 A1	24-06-1998
			ES 2167587 T3	16-05-2002
			HR 960339 A1	30-04-1998
			HU 9900678 A2	28-07-1999
			IL 122118 A	14-07-1999
			JP 11509230 T	17-08-1999
			JP 3296564 B2	02-07-2002
			NO 980207 A	16-01-1998
			NZ 312907 A	22-12-2000
			PL 324496 A1	25-05-1998
			PT 848705 T	28-02-2002
			SI 848705 T1	30-04-2002
			SK 6298 A3	07-10-1998
			WO 9703959 A1	06-02-1997
			US 5969156 A	19-10-1999
WO 0136384	A	25-05-2001	AU 1617301 A	30-05-2001

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

In **onal** Application No  
**PCT/US 02/00431**

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0136384	A		EP 1235799 A1	04-09-2002
			WO 0136384 A1	25-05-2001
WO 0243732	A	06-06-2002	AU 1792702 A	11-06-2002
			WO 0243732 A1	06-06-2002
			AU 3289102 A	11-06-2002
			WO 0243667 A2	06-06-2002
			US 2002099224 A1	25-07-2002
WO 02057229	A	25-07-2002	WO 02057229 A1	25-07-2002
			WO 02057274 A1	25-07-2002
WO 0241834	A	30-05-2002	AU 4150602 A	03-06-2002
			WO 0241834 A2	30-05-2002
			US 2002115709 A1	22-08-2002
WO 02051804	A	04-07-2002	WO 02051804 A1	04-07-2002
WO 0243667	A	06-06-2002	AU 3289102 A	11-06-2002
			WO 0243667 A2	06-06-2002
			US 2002099224 A1	25-07-2002
			AU 1792702 A	11-06-2002
			WO 0243732 A1	06-06-2002